



## REPORT TO THE JOINT COMMITTEE

4 JULY 2018

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**Report by:** GwE Managing Director

**Subject:** GwE Risk Register

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### 1.0 Purpose of the Report

1.1 To present the latest GwE Risk Register to the Joint Committee.

### 2.0 Background

2.1 The purpose of the risk register is to formalise the process of identifying risks and consequently taking action to mitigate the risk.

2.2 Effective management of the region's risks will enable GwE to support the region's objectives, make effective use of resources and deliver outcomes as intended.

### 3.0 Considerations

3.1 The GwE Risk Register is a live document which is kept under regular review. It is presented to the Joint Committee on an annual basis & also when new risks are identified where the Joint Committee needs to be made aware.

3.2 All of the risks have been reviewed & updated; with a total of 11 risks being updated (Risks 2, 5, 6, 8, 12, 14, 16, 17, 19, 22 and 23).

3.3 **Risk 2 is a new risk** (Risks 2 and 23 have been combined). **Risk 5 is a new risk** (Risks 5, 19 and 22 have been combined). In addition **Risk 14 is a new risk** (Risks 14 and 16 have been

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combined). Therefore the previous versions of Risks 5, 14, 16, 19, 22 and 23 have been removed – see 3.3 below).

3.3 It is therefore suggested that the following risks be removed:

<b>Risk Number</b>	<b>Risk Identified</b>
5	Curriculum and qualification changes creates uncertainty within schools
14	Variation in the performance of individual local authorities at KS4
16	The performance of MAT pupils in individual authorities across the region varies significantly.
19	Schools awareness of the four purposes within Successful Futures and development of the Areas of Learning and Experience as part of curriculum reform is limited & creates uncertainty in schools.
22	That the significant scope of Education reform underway to include accountability, professional learning, digital, Welsh language, curriculum development, assessment, leadership and ALN, is not fully embraced & implemented successfully & creates uncertainty in schools.
23	Significant changes and cuts to the EIG in 2018/19 and 2019/20 could affect the implementation of the Strategic Business Plan and the schools' budgets.

The following risk matrix has been followed in determining the residual risk status.

Risk Matrix					
Probability	Almost Certain	Low (4)	Medium (8)	High (12)	High (16)
	Likely	Low (3)	Medium (6)	Medium (9)	High (12)
	Possible	Low (2)	Low (4)	Medium (6)	Medium (8)
	Unlikely	Low (1)	Low (2)	Low (3)	Low (4)
		Low	Medium	High	Extreme
Impact					

#### 4.0 Recommendations

- 4.1 The Joint Committee is asked to review the content of the register.
- 4.2 Determine whether there are any risks that the Joint Committee wish to bring to the attention of their respective Cabinet's etc.

#### 5.0 Financial Implications

- 5.1 Effective management of risks and financial controls help to monitor costs and enable value for money.

#### 6.0 Equalities Impact

- 6.1 There are no new equalities implications arising from this report.

#### 7.0 Personnel Implications

- 7.1 There are no new personnel implications arising from this report.

#### 8.0 Consultation Undertaken

- 8.1 The GwE Senior Leadership Team have discussed the content of the register & have amended risks as appropriate.

**9.0 Appendices**

9.1 GwE Risk Register

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**OPINION OF THE STATUTORY OFFICERS**

**Monitoring Officer:**

A risk monitoring system is an essential part of effective governance. By considering the risk profile outlined in the table it is important that the Joint Committee gives detailed attention to the assessment and the response.

**Statutory Finance Officer:**

I believe that the GwE risk register is on a Standard format and includes appropriate information. I, along with Gwynedd's Finance Section, will provide support to the GwE Managing Director regarding Financial risks. Further comments on specific risks, as appropriate, will be reported orally at the meeting of the Joint Committee on the 4th July.